### Region-Based Fractal Image Compression Using Deterministic Search

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#### Abstract

The paper introduces a new method based on deterministic search to fractal image compression. In order to find a good region-based par titioning, we propose a determi*nistic search method for finding the blocks to be merged.* For each range, a list of best N domains is maintained. When two ranges are to be merged and their common edge disappears, for the new range the best N domains are selected only from the  $2 \times N$  domain extension of the two ranges. At each s tep the edge with minimum collage error increase is det erministically s elected and the two corresponding ranges are merged. The process starts with atomic blocks as ranges and ends when the desired number of ranges is achieved. In order to reduce the encoding time, a suboptimal initializ ation method is also considered. Experimental results prove that our method yields a better r ate-distortion cur ve t han t he cl assic quad-t ree partitioning scheme.

#### 1. Deterministic search method

A major problem that researchers in fractal image compression f ace, beside redu cing the encoding time, is image partitioning. Because of the huge sear ching space, the optimal p artitioning p roblem f or a d esired bit-rate, cannot be practically solved. Until no w, deterministically *hierarchical partitioning* (quadtree scheme [1], HV partitioning [2], p olygonal p artitioning [3]) and *split-and-merge* methods ([4], Delaunay triangulations [5][6], quadrilateral [7], heuristic s earch [8], evolutionary [9]) have emerged as solutions for the problem.

In order to comply with the spatial contraction of the fractal transform we consider the domains twice as large as the corresponding range. The spatial transform applied to a domain for m atching the r ange size is the usual method of shrinking by pixel averaging. We also take in consideration all the 8 isometries (4 rotations and 4 flips)

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that can be applied to a block , which have the effect of enlarging the domain pool that has to be searched in order to find the best match.

For a range R and a dom ain D w e determ inate the scale and of fset coef ficients *s* and *o* by minimizing the collage error as a function having *s* and *o* as parameters. The value obtained for *s* is then clamped to the [-1,1] interval in order to assure the constraction in lum inance space as well. The collage error becomes, after applying an uniform quantization to the p arameters *s* and *o* and yielding  $\overline{s}$  and  $\overline{o}$ ,

$$E(R,D) = \left\| R - (\overline{s}D + \overline{o}\mathbf{1}) \right\|^2 \tag{1}$$

where **1** is a uniform image block with each pixel having unit intensity. The bit stream transmitted to the decoder contains the codebook index of the best corresponding domain and the quantized values  $\overline{s}$  and  $\overline{o}$ . Even if the fractal encoding method previously described is not new, being almost a standard on e, we propose a n ew method for block merging.

Our method starts with an *initialization* phase consisting in:

- splitting the im age in sm all sq uare im age b locks called *atomic bl ocks* of the s ame s ize (e.g. 8 by 8 pixels).
- building, for each atomic block, a list of best N corresponding domains, regarding the collage error, by an expensive *full-search* of the domain pool.

The initialization p hase is followed by a *merging* phase in which ranges, initially id entical to the ato mic blocks, are merged in order to f ind a g ood partition ing which has less r anges. We c onsider r anges a s fr ontier-connected s ets of at omic blocks, and define "edge" the entire common border of two adjacent ranges. In order to form an edge, the two adjacent ranges must share at least one atomic block border. For each edge we compute the collage error increase if this edge would disappear. When an edge disappears, the two corresponding ranges are removed and replaced by their union as a new range. To



# Figure 1. An example of range merging, obtained for N=2 on Lena image when two of the 3182 remaining ranges are merged

determine the edge's collage error in crease w e n eed to find the best domain for the union range. To avoid fullsearch for getting the new best N domains, we follow [9] and restrict the search only to the  $2 \times N$  domains obtained by correspondingly enlarging each of the N dom ains of both initial ranges, as shown in Figure 1 (*small* figure - the two ranges which merge; *large dark* figures - the corresponding dom ains from both lists, N dom ains for each range; *large lig ht* figures - the corresponding extensions of each dom ain). T he best N=2 dom ains f rom th ese  $2 \times N=4$  are maintained in list for the merged range.

At each step of the merging process, the collage error increase being already known for all the edges in the partitioning, we can **deterministically** select the edge with the minimum collag e error in crease. Now the m erging phase can be described as follows:

- compute, for each edge, the collage error increase in case of edge disappearance.
- select the edge whose disappearance would give a minimal increase of the collage error.
- merge the two corresponding ranges.
- compute the collage error in crease in case of edge disappearance for all the edges of the new range.
- repeat the previous 3 steps until the desired number of ranges or the maximum value for the collage error is achieved.

During the merging phase, at each step, when the number of ranges is decremented, the bit-rate also decreases while th e collag e error increases, the rate-

## Table 1. PSNR (dB) performance of the deterministic search method.

No. of	Compr.	Parameter N							
ranges	ratio	2	5	10	20	50			
4000	18.06	31.32	31.32	31.32	31.32	31.32			
3500	20.44	31.30	31.31	31.31	31.31	31.31			
3000	23.54	31.26	31.27	31.28	31.28	31.28			
2500	27.74	31.16	31.19	31.20	31.21	31.22			
2000	33.77	30.96	31.01	31.03	31.05	31.06			
1500	43.16	30.52	30.59	30.62	30.66	30.67			
1000	59.76	29.44	29.59	29.71	29.75	29.79			
500	97.16	27.12	27.47	27.70	27.81	27.87			

 Table 2. Computation times needed to reach

 different number of ranges in partition

No. of	Init.	Merging phase								
ranges	phase	(sec)								
	(sec)	N=2	N=5	N=10	N=20	N=50				
4000	3778	3	6	10	17	44				
3500	3778	16	19	25	40	85				
3000	3778	28	34	43	69	140				
2500	3778	41	51	67	108	214				
2000	3778	54	68	91	150	303				
1500	3778	68	87	122	201	423				
1000	3778	84	111	165	284	595				
500	3778	104	147	231	400	904				

distortion cu rve bei ng, approx imately, con tinuously parsed.

An interesting feature of our deterministic merging algorithm is its sim plicity, b oth in d escription and in p arameters: beside the sto p-condition it has o nly o ne p arameter: the number N of the best domains maintained for each range.

#### 2. Results

All the tests hereinafter presented are performed on the standard 512  $\times$ 512 grey-scale L ena i mage. C onsidering atomic blocks of 8  $\times$ 8 pixels, produces a 63  $\times$ 63 $\times$ 8 codebook that will be full-searched in the initialization phase. During the quantization step a 5 -bit and 7 -bit unif orm quantization is used for *s* and *o* respectively. For the partition coding we use the sim ple method of describing, by using two bits, the status of an atomic bloc: connected or not with its right and lower neighbours.

In a first experiment we compare our deterministic search algorithm to the quad-tree method [10]. For a more correct comparison we use the quad-tree method without any classification (i.e. f ull-search) and vary the tolerance flag in the [1-20] area. The maximum recursion depth is chosen so th at minimum block size is  $8\times8$  pixels. The



Figure 2. Partitioning with 2000 ranges (N=2, compression ratio 33.77, PSNR=30.96 dB)



Figure 3. Partitioning with 500 ranges (N=2, compression ratio 97.16, PSNR=27.12 dB)

Lena image compressed with our method and the resulting partitioning is shown in Figure 2 and Figure 3 while the rate-distortion curves obtained for both methods are presented in Figure 4. Ob viously, the d eterministic search method gives always better results, especially at high compression ratios.

We further test the effect of the parameter N on both the image quality (Table 1) and the encoding time (Table 2). The comparison of the ratio of the evolution phase



#### Figure 4. Rate-distortion curves for deterministic search method vs. quad-tree partitioning method (512×512 Lena image, N=2).

time to the initialization p hase time (the o nly thing that can be compared when running on different machines) to the results presented in [9] p roves that deterministic search method is a f aster way to f ind a g ood partition ing of the image.

Studying the influence of the p arameter N o n the image quality proves us that, regard less of its value in the [2-50] interval, the image quality doesn't significantly decrease with N, even for h igh com pression ratios. T herefore, time consuming values greater than 10 need not to be considered.

All our tests described in this paper are performed by running our test compression program (maybe not best optimized) on an Intel Pentium 166 MMX bas ed PC machine. An adv antage of our method, as compared to the quad-tree method, is that the tim e-expensive initialization phase is the same and needs not to be started ov er for different desired compression ratios.

Because most of the encoding time is spent on the fullsearch initialization p hase, we study the p erformance of the deterministic search method with a suboptimal initialization. We choose the suboptimal method of reducing the size of the domain pool (proposed by S aupe in [11]) by keeping in the domain pool only the upper  $\alpha$  fraction of domains variance-wise. In T able 3 we present the initialization phase time and the PSNR for the decoded image (having 4096 ranges) as function of parameter  $\alpha$ . We notice that, while the im age quality slightly decreases, the encoding time decreases linearly with  $\alpha$ .

In Table 4 and Table 5 we present the results obtained by the deterministic search m ethod after such a sub optimal initialization p hase (corresponding to  $\alpha$ =0.25 and  $\alpha$ =0.10, respectively).

The effect of parameter  $\alpha$  on decoded image quality is presented in Figure 5 (the case  $\alpha$ =1.00 corresponds to the optimal full-search initialization phase). In Figure 6 these

α	1.00	0.90	0.80	0.70	0.60	0.50	0.45	0.40	0.35	0.30	0.25	0.20	0.15	0.10	0.05
Time (sec)	3778	3426	3069	2706	2339	1964	1776	1583	1392	1200	1003	804	605	406	206
PSNR (dB)	31.32	31.32	31.32	31.32	31.32	31.32	31.30	31.28	31.26	31.23	31.20	31.09	30.96	30.75	30.34

Table 3. Suboptimal initialization effect on initialization phase

### Table 4. PSNR (dB) for deterministic search with suboptimal initialization ( $\alpha$ =0.25)

No. of	Compr.	Parameter N							
ranges	ratio	2	5	10	20	50			
4000	18.06	31.20	31.20	31.20	31.20	31.20			
3500	20.44	31.18	31.19	31.19	31.19	31.19			
3000	23.54	31.15	31.16	31.16	31.16	31.17			
2500	27.74	31.06	31.08	31.09	31.10	31.10			
2000	33.77	30.88	30.91	30.94	30.95	30.95			
1500	43.16	30.46	30.51	30.55	30.57	30.60			
1000	59.76	29.47	29.60	29.64	29.71	29.77			
500	97.16	27.21	27.53	27.68	27.78	27.90			

Table 5. PSNR (dB) for deterministic search with suboptimal initialization ( $\alpha$ =0.10)

No. of	Compr.	Parameter N							
ranges	ratio	2	5	10	20	50			
4000	18.06	30.75	30.75	30.75	30.75	30.75			
3500	20.44	30.75	30.75	30.75	30.75	30.75			
3000	23.54	30.72	30.73	30.73	30.73	30.73			
2500	27.74	30.65	30.66	30.67	30.67	30.68			
2000	33.77	30.50	30.51	30.54	30.55	30.56			
1500	43.16	30.14	30.21	30.23	30.25	30.26			
1000	59.76	29.29	29.44	29.51	29.54	29.58			
500	97.16	27.24	27.55	27.74	27.76	27.86			

results are presented (for comparison) together with the quad-tree partitioning method results. So me cases o f decompressed Lena image (and corresponding partitionings) are also presented in Figure 7 and Figure 8.

Experimental results p rove that im age q uality descrease due to suboptimal initialization is small and, even with suboptimal initialization, the d eterminisistic search method gives much better results than the classical quadtree partitioning method.

#### **3.** Conclusion

The results presented in the paper prove that the deterministic search m ethod, despite its sim plicity, is, even in the suboptimal initialization case, a good solution for a very im portant problem in fractal image compression: finding a good image partitioning.

To completely explore the potential of the deterministic search method, future work has to be done regarding



Figure 5. Rate-distortion curves for deterministic search with suboptimal initialization (N=2)



#### Figure 6. Rate-distortion curves for deterministic search (D.S.) method with suboptimal initialization vs. quad-tree partitioning (N=2)

the following, yet, "open-problems":

- Because most of the encoding time is spent on the full-search initialisation phase, the influence of other speeding up fractal image compression methods, both lossless [12] and suboptimal, needs to be assessed.
- In order to obtain a sm oother partitioning of the image it might be promising to choose the atomic block of 4 ×4 pixels. Preliminary work shows that in this case, on the same machine, the full-search phase in the 127 ×127×8 domain pool requ ires a proh ibitive 9h15'10" computing time. P revious sp eeding up methods become, once again, very important.
- Classifying the blocks in predefined classes, dif ferently written in the compressed stream, can increase



Figure 7. Decoded 512×512 Lena image w ith 500 ranges (compr. ratio 97.16),  $\alpha$ =0.25, N=2, PSNR=27.21 dB, total encoding time 1107 sec.

the compression ratio. For example, classifying the blocks as shade ( $\overline{s}$  =0) and nonshade blocks ( $\overline{s}$  !=0) and n ot tran smitting the codebook in dices of the shade blocks will increase the 97.16 compression ratio to 97.59 with no decrease in image quality.

- The compression ratio can be f urther i mproved by using derivative chain codes [9] to describe the image partitioning.
- Entropy coding and other lossless compression methods can be u sed, both f or range describing bits and for transformation describing bits, in order to reduce the bit stream without decreasing the image quality.
- Finally, the most interesting p roblem: if taking, at each step, the optimal decision, is the final solution the optimum one, i.e. if the global rather than a local minimum of the collage error is achieved.

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Figure 8. Decoded 512×512 Lena image w ith 500 ranges (compr. ratio 97.16),  $\alpha$ =0.10, N=2, PSNR=27.24 dB, total encoding time 510 sec.

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